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### FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet \_1\_ of \_5\_

In the Application of BARCHFIELD et al.

Serial No.: 09/044,696

Art Unit: Unassigned 16 4

Filed: March 18, 1998

Examiner: Unassigned

Title: DETOXIFIED MUTANTS OF BACTERIAL ADP-RIBOSYLATING TOXINS AS PARENTERAL ADJUVANTS

#### U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
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## FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Trans YES	lation NO
5)	AB-1	<sup>1</sup> 92/19265,	November 12, 1992	PCT				
57)	AC-1	93/13202	July 8; 1993	РСТ				
5)	AD-1	<sup>1</sup> 95/17211	June 29, 1995	РСТ				

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Atty Dkt No. 1393.002 Client No. 2302-1393 **PATENT** 



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## FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 2 of 5

In the Application of BARCHFIELD et al.

Serial No.: 09/044,696

Art Unit: Unassigned. (64)

Filed: March 18, 1998

**Examiner: Unassigned** 

Title: DETOXIFIED MUTANTS OF BACTERIAL ADP-RIBOSYLATING TOXINS AS PARENTERAL ADJUVANTS

Exa	m. Init.	Ref. Desig.	Description
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			Elson et al., "A Lavage Technique Allowing Repeated Measurement of IgA Antibody in Mouse Intestinal Secretions," <i>Journal of Immunological Methods</i> 67:101-108 (1984)
		AK-1	Elson et al., "Generalized Systemic and Mucosal Immunity in Mice After Mucosal Stimulation With Cholera Toxin," <i>The Journal of Immunology</i> 132(6):2736-2741 (1984)
		AL-1	Elson et al., "Ir Gene Control of the Murine Secretory IgA Response to Cholera Toxin," <i>Eur. J. Immunol.</i> 17:425-428 (1987)
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In th Application of BARCHFIELD et al.

Serial No.: 09/044,696

Art Unit: Unassigned 1641

Filed: March 18, 1998

Examiner: Unassigned

Title: DETOXIFIED MUTANTS OF BACTERIAL ADP-RIBOSYLATING TOXINS AS PARENTERAL ADJUVANTS

Exam. Init.	Ref. Desig.	Description
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	AP-1	Hirabayashi et al., "Involvement of Antigen-Presenting Cells in the Enhancement of the In Vitro Antibody Responses by Cholera Toxin B Subunit," Immunology 75:493-498 (1992)
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	AR-1	Hirabayashi et al., "H-2-Unrestricted Adjuvant Effect of Cholera Toxin B Subunit on Murine Antibody Responses to Influenza Virus Haemagglutinin," Immunology 72:329-335 (1991)
	AS-1	Holmgren et al., "Cholera Toxin and Cholera B Subunit as Oral-Mucosal Adjuvant and Antigen Vector Systems," <i>Vaccine</i> 11:1179-1183 (1993)
57	AT-1	Kikuta et al., "Cross-Protection Against Influenza B Type Virus Infection by Intranasal Inoculation of the HA Vaccines Combined with Cholera Toxin B Subunit," Vaccine 8:595-599 (1990)

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Atty Dkt No. 1393.002 Client No. 2302-1393 PATENT

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# FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 4 of 5

In the Application of BARCHFIELD et al.

Serial No.: 09/044,696

Art Unit: Unassigned 1641

Filed: March 18, 1998

**Examiner: Unassigned** 

Title: DETOXIFIED MUTANTS OF BACTERIAL ADP-RIBOSYLATING TOXINS AS PARENTERAL ADJUVANTS

Exam. Init.	Ref. Desig.	Description
57	AU-1	Lycke et al., "The Adjuvant Effect of <i>Vibrio Cholerae</i> and <i>Escherichia Coli</i> Heat-Labile Enterotoxins is Linked to Their ADP-Ribosyltransferase Activity," <i>Eur. J. Immunol.</i> 22:2277-2281 (1992)
	AV-1	Lyke et al., "Strong Adjuvant Properties of Cholera Toxin on Gut Mucosal Immune Responses to Orally Presented Antigens," <i>Immunology</i> <u>59</u> :301-308 (1986)
	AW-1	Nathaniel F. Pierce, "The Role of Antigen Form and Function in the Primary and Secondary Intestinal Immune Responses to Cholera Toxin and Toxoid in Rats,"  J. Exp. Med. 148:195-206 (1978)
	AX-1	Pierce et al., "Cellular Kinetics of the Intestinal Immune Response to Cholera Toxoid in Rats," J. Exp. Med. <u>142</u> :1550-1563(1975)
	AY-1	Snider, "The Mucosal Adjuvant Activities of ADP-Ribosylating Bacterial Enterotoxins," Critical Review in Immunology 15(3&4):317-348 (1995)
	AZ-1	Tamura et al., "Cross-Protection Against Influenza Virus Infection Afforded By Trivalent Inactivated Vaccines Inoculated Intranasally with Cholera Toxin B Subunit," <i>The Journal of Immunology</i> 149(3):981-988 (1992)
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Atty Dkt No. 1393.002 Client No. 2302-1393 **PATENT** 

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## FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet \_5\_ of \_5\_

In the Application of BARCHFIELD et al.

Serial No.: 09/044,696

Art Unit: Unassigned 1641

Filed: March 18, 1998

Examiner: Unassigned

Title: DETOXIFIED MUTANTS OF BACTERIAL ADP-RIBOSYLATING TOXINS AS PARENTERAL ADJUVANTS

Exam	. Init.	Ref. Desig.	Description
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		BD-1	Tamura et al., "Effectiveness of Cholera Toxin B Subunit as an Adjuvant for Nasal Influenza Vaccination Despite Pre-Existing Immunity to CTB," Vaccine 7:503-505 (1989)
		BE-1	Van Der Heijden et al., "Manipulation of Intestinal Immune Responses Against Ovalbumin by Cholera Toxin and its B Subunit in Mice," <i>Immunology</i> 72:89-93 (1991)
		BF-1	Wilson et al., "Adjuvant Effect of Cholera Toxin on the Mucosal Immune Response to Soluble Proteins, Differences Between Mouse Strains and Protein Antigens," Scand. J. Immunol. 29:739-745 (1989)
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FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 1 of 1

In the Application of BARCHFIELD et al.

Serial No.: 09/044,696

Art Unit: 1641

Filed: March 18, 1998

Examiner: Unassigned

Title: DETOXIFIED MUTANTS OF BACTERIAL ADP-RIBOSYLATING TOXINS AS PARENTERAL ADJUVANTS

### U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date		Name	Class	Sub Class	Filing Date
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#### FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Trans YES	lation NO
57)	AB-1	95/34323	December 21, 1995	РСТ				
<b>5</b> )	AC-1	97/02348	January 23, 1997	РСТ				

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